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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Mitsuyuki OKATAMA et al.

ATTN: BOY PCT

Serial No. NEW

Docket No. 2002\_0292A

Filed February 28, 2002

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[Corresponding to PCT/JP01/05484  
Filed June 27, 2001]

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

ATTACHMENT F

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, or

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.


3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

- a. ☐ a full or partial English language translation submitted herewith,
- b. ☒ an International Search report (in the English and Japanese languages) submitted herewith,
- c. ☒ the concise explanation contained in the specification of the present application at pages 2-5,
- d. ☒ the concise explanation set forth in the attached English language abstract,
- e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Mutsuyuki OKAYAMA et al.

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February 28, 2002

FORM PTO 1449 (modified)

ATTY DOCKET NO.  
2002\_0292ASERIAL NO.  
NEW

10/069795

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: February 28, 2002

APPLICANT  
Mutsuyuki OKAYAMA et al.FILING DATE  
February 28, 2002

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	EXAMINER DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AG	8-287014	11/1996	JP			
	AH	11-126423	05/1999	JP			
	AI	7-143489	06/1995	JP			
	AJ	00/22539	04/2000	WO			Abst.
	AK	10-293724	11/1998	JP			Abst.
	AL	11-39794	02/1999	JP			Abst.
	AM	2000-122539	04/2000	JP			Abst.
	AN	7-249264	09/1995	JP			Abst.
	AO						

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	Shin ICHIMATSU, "Data Hogo to Angou-ka no Kenkyuu: Computer Network no Anzensei", Nippon Keizai Shinbunsha, 29 July, 1983, pp. 201-206.
	AQ	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.